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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE STATEMENT

OIPE JC18 APR 2 9 2002 PATENT & TRADEMARK OFFICE

Serial No.:	~~10/038,204~~
Applicants:	Daniel T. Colbert et al.
Filing Date:	December 21, 2001
Group:	2881
Atty. Docket No.:	11321-P011C1D3

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
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FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
PL ABA	EP 1 176 234 A2	12/05/1993	European			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

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PL AQA GAMALY, *et al.*, "Mechanism of carbon nanotube formation in the arc discharge," *Physical Review B*, Volume 52, Number 3, July 15, 1995-I, pp. 2083-2089.

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Examiner: _____ Date Considered: 8/19/03

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

Complet if Kn wn	
Application Number	10/038,204
Filing Date	December 21, 2001
First Named Inventor	Colbert, et al.
Art Unit	2881
Examiner Name	Unknown
Attorney Docket Number	11321-P011C1D3

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number: Number - Kind Code[2] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
PL		US- 5,698,175	12-16-1997	Hiura, et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document: Country Code[3] - Number[4] - Kind Code[5] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

Compl te if Known	
Application Number	10/038,204
Filing Date	December 21, 2001
First Named Inventor	Colbert, et al.
Group Art Unit	2881
Examiner Name	Unknown
Attorney Docket Number	11321-P011C1D3

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T[2]
PL		Ajayan, et al., "Opening carbon nanotubes with oxygen and implications for filling", Nature, Vol. 362 (April 8, 1993), pp.522-525	
PL		Canadian Search Report dated October 11, 2002 for Canadian Application No. 2,283,502	

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